



Europäisches  
Patentamt

European  
Patent Office

PCT / IB 0 3 / 0 3 9 3 1  
Office européen  
des brevets 12 SEP 2003

MAILED 19 SEP 2003

WIPO PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02079150.5

**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

BEST AVAILABLE COPY



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

Anmeldung Nr:  
Application no.: 02079150.5  
Demande no:

Anmeldetag:  
Date of filing: 07.10.02  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V.  
Groenewoudseweg 1  
5621 BA Eindhoven  
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

Method of and system for establishing a communication address of a device

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

H04L29/00

Am Anmeldetag benannte Vertragsstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SK TR

Method of and system for establishing a communication address of a device

The invention relates to a method of establishing a communication address of a device, the method comprising obtaining a main address; using the main address as communication address of the device; monitoring whether a collision occurs when using the main address as communication address; resolving the collision by obtaining a new main address for use as communication address of the device.

The invention further relates to a system for establishing a communication address of a device, the system comprising obtaining means conceived to obtain a main address; using means conceived to use the main address as communication address of the device; monitoring means conceived to monitor whether a collision occurs when using the main address as communication address; resolving means conceived to resolve the collision by obtaining a new main address for use as communication address of the device.

The invention further relates to an internet enabled device comprising such a system.

The invention further relates to a computer program product designed to perform such a method.

The invention further relates to a storage device comprising such a computer program product.

An embodiment of such a method and system is generally known from networks running the Internet Protocol (IP) wherein a host needs to have an IP-address to communicate with other hosts. This address is an identifier that represents the host, and therefore it must be unique within the scope of the network. If hosts are being configured manually, it is the responsibility of the network administrator to make sure that all hosts have a unique IP-address. However, it is not desirable to do all configuration manually. Also, manual configuration is not sufficient for dynamic network set-ups. People in an office that work with notebooks might use them at work, as well as at home. If a notebook uses a fixed IP-address, this address must be valid for both networks. This is enabled by the *Dynamic Host Configuration Protocol*, see <http://www.ietf.org/> of the Internet Engineering Task Force

for the Internet Draft Specification. The idea with DHCP is that a host in the network offers a service to manage the IP-configuration. Hosts that need to configure an IP interface can probe for the DHCP server and use it to obtain an IP-address. The DHCP server makes sure that IP-addresses being provided are all unique. DHCP is widely used and it is based on a centralized service. This means that failure of a DHCP server may cause trouble that cannot be solved easily.

An algorithm that does not depend upon a centralized service is specified in the IPv4 Link-Local Addressing (LLA), see <http://www.ietf.org/> of the Internet Engineering Task Force for the Internet Draft Specification as specified by the Zeroconf Workgroup. The LLA Specification describes a distributed algorithm in which hosts co-operate to make sure that each host gets assigned a unique IP-address. This can be explained by the following example. A home network comprises a number of devices that communicate with each other. A connection to the outside world can be established through a router or gateway device. The outside world can be the internet, another home network, or in fact any type of network. The term "link-local" refers to the fact that traffic in an LLA-network is isolated from the outside world. There are mainly two measures taken to achieve this: Using a reserved IP-range, which is 0 to 169,254/16 (excluding the first and last 256 addresses); and the fact that IP packets to and from LLA addresses must carry a Total Length Logic (TLL) value of 255. The mechanism to obtain an IP-address is simple. Basically it is a matter of trial-and-error. A host that wants to configure an IP address picks a random address in the LLA address range and checks if the selected address is available within the link-local scope. Still there are situations possible where two hosts turn out to be using the same address. For example when two hosts pick the same address and check at the same time if the selected address is available. To cope with this, there is a collision detection and handling algorithm.

25

It is an object of the invention to provide a method according to the preamble that handles collisions in an improved way. To achieve this object the method is characterized in that the method comprises: obtaining a backup address; and resolving the collision comprises using the backup address as communication address of the device if the collision with the main address occurs.

30

The advantage of using backup addresses is that when a collision occurs, a new address can be used at once without having to wait and obtain a new IP-address which can take up to several seconds. For example, with the LLA Protocol, a device must

immediately drop its old address and obtain a new address in the case of a collision. It has to check the availability of the new address for eight seconds. As a result, the device cannot communicate during these seconds.

An embodiment of the method according to the invention is described in claim

- 5 2. By maintaining an open connection with the device that has changed from IP-address, an application that receives information can continue processing the information. For example, an audio or video processing application can continue streaming without significant interruption.

An embodiment of the method according to the invention is described in claim

- 10 3. By obtaining a new backup address if a collision occurs with this backup address, it can be prevented that the backup address is claimed by a device preventing other devices from using it. An other advantage is that it can be prevented that the backup address collides with the IP-address of an other host by the time the backup address is going to be used.

It is an object of the invention to provide a system according to the preamble

- 15 that handles collisions in an improved way. To achieve this object the system is characterized in that the obtaining means is further conceived to obtain a backup address; and the resolving means is further conceived to use the backup address as communication address of the device if the collision with the main address occurs.

Embodiments of the system according to the invention are described in claims

- 20 6 and 7.

These and other aspects of the invention will be apparent from and elucidated with reference to the embodiments described hereinafter as illustrated by the following

- 25 Figures:

Figure 1 illustrates the main steps of the LLA configuration algorithm;

Figure 2 illustrates the main steps of the backup algorithm;

Figure 3 illustrates the main parts of a system according to the invention in a schematic way;

- 30 Figure 4 illustrates internet-enabled devices comprising the system according to the invention in a schematic way.

Figure 1 illustrates the main steps of the LLA protocol to handle IP configuration. The steps are based upon an trial-and-error algorithm, wherein hosts that want to configure an IP address pick a random address in the LLA address range and checks if the

- 
- selected address is available within the link-local scope. Still there are situations possible  
5 where two hosts turn out to be using the same address, so there is a collision detection and handling algorithm to cope with this. Remark, that the numbers and seconds used below are merely an example and are not intended to limit the invention.

- Within step S100 a random IP address within the LLA address range is chosen by a host. For LLA the 169.254/16 address range has been reserved. The first and last 256  
10 addresses are not used, so an address in the range 169.254.1.0-169.254.254.255 is selected. Addresses must be selected randomly, and it is advised to use persistent information to calculate the seed value of the random number generator. Hashing the ethernet hardware address to a seed value is given as an example. This way preferably the same sequence of  
15 addresses can be generated in each new run of the protocol, which means that a host does not necessarily have a new address each time it boots. The advantage is that the network configuration is, to a certain extent, static.

- Within step S102 the availability of the IP-address is checked by probing. Once the host has selected an IP address, it must check whether or not the address is occupied. This is done by probing. This means that the hosts sends an Address Resolution  
20 Protocol (ARP) request packets for the address it wants to use. Currently, on Ethernet 4 such probes must be sent with a delay of 2 seconds after each probe. Probing fails if the host receives:

- any ARP packet where the sender IP address is the address being probed for; or
- any ARP packet where the target IP address is the address probing for, and the sender  
25 hardware address is not the hardware address of any of the host's interfaces.

- In either case step S100 must be performed again with a different IP address. However, after at least the 10th attempt to get an address, only 1 attempt per minute is allowed. This way ARP storms can be avoided in situations where lots of collisions occur and hosts are not able to find a legal address.

- 30 Currently, to obtain an IP address in an Ethernet network, there must be done 4 probes with 2 seconds delay, as previously described. This means that it takes at least 8 seconds to get a valid IP address, if no collision occurs. There are a few trivial things that can be done to reduce the waiting:

- 
- shorten the delay between the probes;
-

- decrease the number of probes; and
- a combination of both.

The chance that probe packets get lost, reply packets get lost, and the chance that probes are replied in a short amount of time, highly depends on the underlying network.

- 5 To make the protocol reliable for every hardware type and network setup, enough probes must be performed with a sufficient delay. Shortening the probe times is also an example of shortening the time before an IP-address becomes valid. Other examples are also feasible.

- Within step S104, the IP-address is used if probing was successful. If probing the address did not result in a collision, the hardware interface can be configured to use the address. From now on incoming ARP packets must be checked to see if there are collisions with the host's IP-address. A collision occurs if an ARP packet is received where the sender IP address is equal to the host's own IP address and the sender hardware address is not the hardware address of any of our interfaces.
- 10

- Within step S106, the host announces that the selected IP-address is being used. The address that is selected may have been used before by another host, and then ARP caches of hosts in the network possibly contain stale entries. To update them, 2 gratuitous ARP packets with a 2 seconds delay between must be sent.
- 15

- Within step S108, the host regularly checks if a collision with its IP-address occurs. At this point a new IP address was successfully configured, ARP cache entries of other hosts in the network were updated, and the host starts waiting for collisions.
- 20

- If a collision occurs there are two possibilities. The first possibility is to drop the IP address within step S110 and return to step S100. The second possibility is to defend the IP-address within step S112 to hopefully maintain it. The latter is useful if there are important connections that should not be lost because of IP changes. For example TCP/IP connections get lost if this happens. Defending an address means that a gratuitous ARP packet must be sent and then the host must wait for 10 seconds within step S114. If during that time another collision is detected, the defense failed and the IP address must be dropped within step S110.
- 25

- When a device loses its IP address, it must find a new one as soon as possible. As long as there is no new address, the device will not be able to communicate, which results in interrupted streams and other similar problems. With LLA the device must pick a new address and check its availability for 8 seconds, according to the protocol specification. Losing an address means that preferably the address must be dropped right away, without getting a new one first. As a result, the device needs to wait at least 8 seconds before it is able
- 30

to communicate again. To reduce the delay problem, a backup address can be used. The backup address is selected and probed for at the same time as the main address, and then stored until it is needed. Basically this means that because step S102 is the most time

---

5 consuming part of the process, step S100 and S102 are done in advance. Once a collision occurs, the backup address can replace the colliding main address immediately, and the device can stay online without having to probe for a new address. The modified protocol basically exists of two parallel algorithms: the main steps of the LLA algorithm as illustrated in Figure 1 and the main steps of the backup algorithm as illustrated in Figure 2.

10 Within Step S200 a random IP address in the LLA address range is chosen for the backup IP-address. Within Step S202 the availability of the backup IP-address is checked by probing. Then, the backup IP-address is stored as backup address if probing is successful within step S204. Finally, within step S206, the host waits until a new backup address is needed because of a collision. If a new backup IP-address is needed, step S200 is performed.

15 With this algorithm, the backup address is being watched by the collision detection mechanism. Once the device receives an ARP packet that carries the backup address as either the source or destination, it must drop the backup address and find another one. This way it is ensured that the backup address is not colliding with any other host by the time it is going to be used.

20 An advantage of using backup addresses is that when a collision occurs, a new address can be used without having to wait unnecessarily. The backup address can be announced as the new main address within step S106, if a notification functionality is added to the network. Protocols or applications can then take proper action to maintain open connections. If a host has found a backup address, and it can find a new one after a collision before another collision occurs, stable IP connectivity is enabled. The, for example audio and  
25 video streaming can continue without any interruption.

As said before, if a device receives any ARP packet that contains its backup address as either the source or destination, the address is preferably dropped and another backup address is found. Note that this can also count for probe packets that match the backup address. This way it is avoided that the backup address is claimed by the device. Any  
30 device trying to obtain an address that is used as a backup address on another device, will not notice anything of this.

---

The following examples illustrate the network traffic caused by using the backup address:

---



Example 1. Assume device A using address X as its main address, and device B trying to probe for the same address as either main address or backup address. Once device B sends a probe, host A will reply and B notices that address X is not available. B will pick another address and start probing for it. The result is that 5 packets will be sent by B and 1 by A, making a total of 6 packets.

Example 2. Assume device A using address X as its backup address, and device B trying to probe for the same address as either main address or backup address. Address X is not claimed by A, so if device B probes for X, A will drop it and try to find another address. Device B will continue probing for X to obtain it, so the result is that both A and B will both send at least 4 probes. Also because now two hosts are probing for an address, there is a larger chance that there will be more probes because one of them fails to find a suitable address.

An advantage of recovering from a collision using backup addresses is that it is a simple and effective way without increasing the complexity of the protocol implementation very much. It requires no modifications to the protocol specification, and there is good interoperability between devices that use backup addresses and hosts that do not use it.

The order in the described embodiments of the method of the current invention is not mandatory, a person skilled in the art may change the order of steps or perform steps concurrently using threading models, multi-processor systems or multiple processes without departing from the concept as intended by the current invention.

Figure 3 illustrates the main parts of a system according to the invention in a schematic way. The system 300 comprises a random access memory 302, a software bus 310, and a central processing unit 312. The memory 302 can communicate with the central processing unit 312 via software bus 310. The memory 302 comprises computer readable code 304, 306, and 308. The computer readable code 304 is designed to probe for an IP-address and a backup IP-address as previously described. The computer readable code 304 is further designed to drop the backup IP-address in the case of a collision and probe for a new backup IP-address as previously described. The computer readable code 306 is designed to use the probed IP-address, which can be either the originally probed IP-address as the backup IP-address. The computer readable code 308 is designed to maintain an open connection in the case that a host switches from IP-address as previously described. The system is described by means of example as a software system. However, dedicated hardware or

combinations of software with hardware, like programmable hardware that is designed to perform the mentioned method steps is included too.

Figure 4 illustrates internet-enabled devices comprising the system according to the invention in a schematic way. An internet-enabled television set 402 comprises the system according to the invention 410 and is bilaterally connected via an ethernet connection 408 to a personal digital assistant 404. The personal digital assistant comprises 404 a system according to the invention 412 and is bilaterally connected via the ethernet connection 408 to a personal computer 406. The personal computer 406 comprises a system according to the invention 414 and is bilaterally connected to the television set 402. The personal computer 406 can download the computer readable code 418 from that is designed to perform the method according to the invention as previously described. The computer readable code 418 can be read by the personal computer 406 from a storage device 416, like a CD, CD+RW, DVD, etc. The television set 402, personal digital assistant 404, and personal computer 406 are examples of internet-enabled devices. Other devices that are internet-enabled are also feasible like for example a mobile phone.

It should be noted that the above-mentioned embodiments illustrate rather than limit the invention, and that those skilled in the art will be able to design many alternative embodiments without departing from the scope of the appended claims. In the claims, any reference signs placed between parentheses shall not be construed as limiting the claim. The word "comprising" does not exclude the presence of elements or steps other than those listed in a claim. The word "a" or "an" preceding an element does not exclude the presence of a plurality of such elements. The invention can be implemented by means of hardware comprising several distinct elements, and by means of a suitably programmed computer. In the system claims enumerating several means, several of these means can be embodied by one and the same item of computer readable software or hardware. The mere fact that certain measures are recited in mutually different dependent claims does not indicate that a combination of these measures cannot be used to advantage.

CLAIMS:

1. Method of establishing a communication address of a device, the method comprising:
  - obtaining a main address;
  - using the main address as communication address of the device;
  - 5 monitoring whether a collision occurs when using the main address as communication address;
  - resolving the collision by obtaining a new main address for use as communication address of the device
  - characterized in that the method comprises:
    - 10 obtaining a backup address; and
    - resolving the collision comprises using the backup address as communication address of the device if the collision with the main address occurs.
2. Method according to claim 1, the method comprising maintaining an open  
15 connection with the device.
3. Method according to claim 1, the method comprising obtaining a new backup address if a collision occurs with the backup address.
- 20 4. System for establishing a communication address of a device, the system comprising:
  - obtaining means conceived to obtain a main address;
  - using means conceived to use the main address as communication address of the device;
  - 25 monitoring means conceived to monitor whether a collision occurs when using the main address as communication address;
  - resolving means conceived to resolve the collision by obtaining a new main address for use as communication address of the device

characterized in that the obtaining means is further conceived to obtain a backup address; and the resolving means is further conceived to use the backup address as communication address of the device if the collision with the main address occurs.

---

- 5     5.            System according to claim 1, the system comprising maintaining means  
conceived to maintain an open connection with the device.
6.            System according to claim 1, wherein the obtaining means is conceived to  
obtain a new backup address if a collision occurs with the backup address.
- 10     7.            Internet enabled device comprising the system according to any of the claims  
4 to 6.
8.            A computer program product designed to perform the method according to  
15     claim 1.
9.            A storage device comprising the computer program product according to claim  
8.

ABSTRACT:

01 01 01



The invention relates to a method of establishing a communication address of a device, the method comprising obtaining a main address; using the main address as communication address of the device; obtaining a backup address; and using the backup address as communication address of the device if a collision occurs with the main address.

- 5 The device is for example an internet-enabled television set 402, a personal digital assistant 404, or a personal computer 406.

Figure 4

1/2

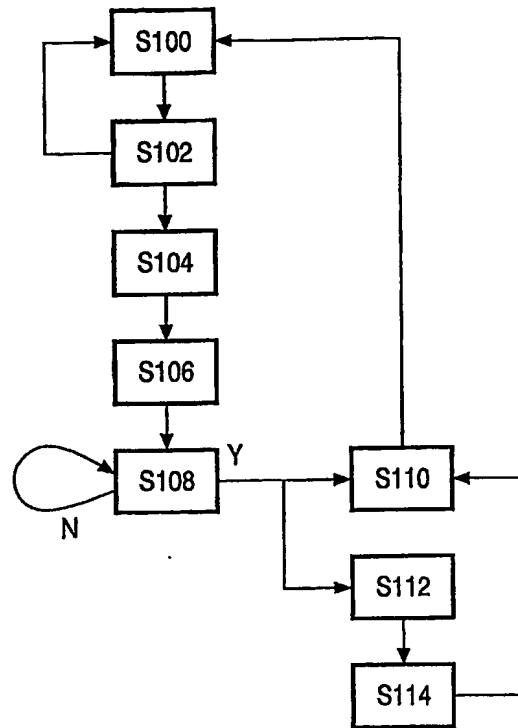


FIG. 1

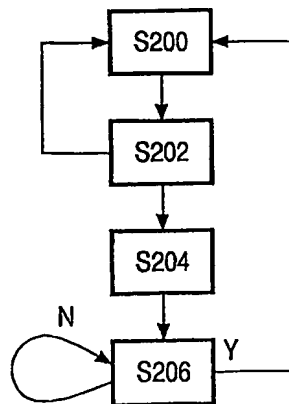


FIG. 2

2/2

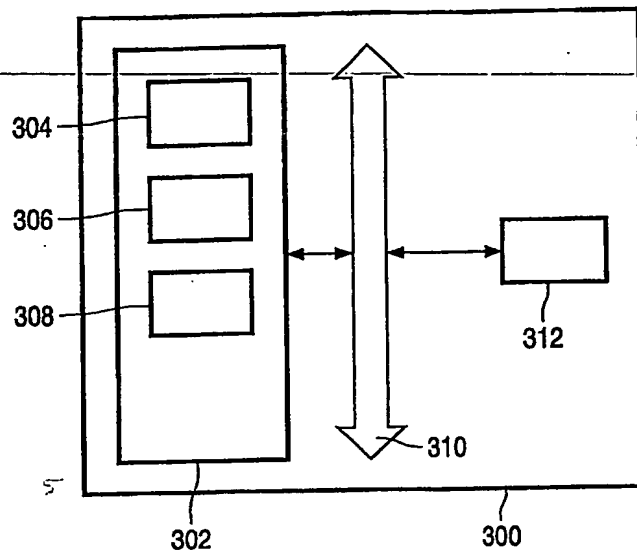


FIG. 3

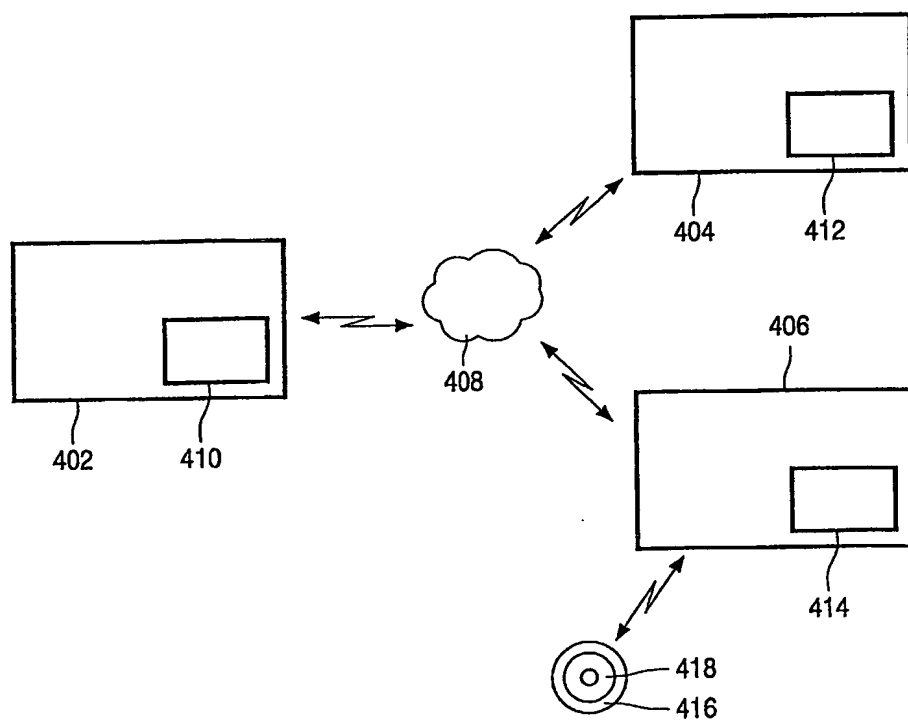


FIG. 4

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☒ **FADED TEXT OR DRAWING**

☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**